

Receipt #5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Decai (NMI) Sun, et al.
FOR : A SEMI-TRANSPARENT POWER
SERIAL NO. : MONITOR INTEGRATED WITH A
FILED : LIGHT PRODUCING MODULE
EXAMINER : 09/924,840
GROUP ART UNIT : September 8, 2001
LAST OFFICE ACTION : Unknown
ATTORNEY DOCKET NO. : 2815
: None
: D/99580I
: XER 2 0292-3

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner For Patents
Office of Initial Patent Examination's
Customer Service Center
Washington, D.C. 20231

Dear Sir:

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested.
2. There is an error in that the following data is:

- ☒ incorrectly entered
and/or
- ☐ omitted
 - ☐ Applicant's name
 - ☒ Applicant's address
 - ☐ Title
 - ☐ Filing Date
 - ☐ Serial Number
 - ☐ Foreign/PCT Application Reference
 - ☒ Other Number of Drawing Sheets Submitted

in that:

(1) the number of drawing sheets submitted is incorrectly indicated on the Filing Receipt as "17". As evidenced by the enclosed copies of the application transmittal sheet and return postcard, 22 sheets of informal drawings (Figures 1-4, 5a-d, 6a-d, 7-20, 21a-b, 23-27) were submitted with the application; and

(2) the city of residence of inventor Francesco Lemmi is incorrectly spelled as "Roha", which should be spelled as "Roma, ITALY."

Accordingly, the filing receipt should read as follows:


1) **Drawings 22**

2) **Francesco Lemmi, Roma, ITALY**

3. ☒ The correction is not due to any error by Applicant and no fee is due
OR
☐ The correction is due to Applicant's error and the fee therefor under 37 C.F.R. §1.19(h) of \$25.00 is paid as follows:
- ☐ enclosed is a check for \$25.00
 - ☐ charge Deposit Account No. 06-0308 in the amount of \$25.00

Respectfully submitted,

FAY, SHARPE, FAGAN,
MINNICH & McKEE, L.L.P.


Mark S. Svat
Reg. No. 34,261
1100 Superior Avenue
Seventh Floor
Cleveland, Ohio 44114-2518
(216) 861-5582

CERTIFICATE OF MAILING

I hereby certify that this Request For Corrected Filing Receipt is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on October 10, 2001.


Karen M. Forsyth



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UNITED STATES PATENT AND TRADEMARK OFFICE

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FAY, SHARPE, BEALL, FAGAN,
MINNICH & MCKEECOMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/924,840

08/08/2001

2815

710

D/995801
XER 2 0292-
3X
22

18

3

CONFIRMATION NO. 6795

FILING RECEIPT



OC000000006847690

FAY, SHARPE, FAGAN, MINNICH & MCKEE, LLP
Seventh Floor
1100 Superior Avenue
Cleveland, OH 44114-2518

XER 20292-3

Date Mailed: 10/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Decai Sun, Los Altos, CA;
Eric Peeters, Fremont, CA;
Christopher L. Chua, San Jose, CA;
Francesco Lemmi, ~~Roma~~, ITALY; ROMA
Patrick Y. Maeda, Mountain View, CA;
Scott Solberg, Mountain View, CA;

Assignment For Published Patent Application

XEROX CORPORATION;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/469,122 12/21/1999

Foreign Applications

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: 01/10/2002

Non-Publication Request: No

Early Publication Request: No

"DOCKETED"

Title

Semi-transparent power monitor integrated with a light producing module

Preliminary Class

257

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Date of Deposit: August 8, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner For Patents, Washington, D.C. 20231

By: *Karen M. Forsyth*
Karen M. Forsyth



EL852678718US

Attorney's Docket No. D/995801
XER 2 0292-3

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

(Only for nonprovisional applications under 37 CFR 1.53(b))

Assistant Commissioner
for Patents
Washington, D.C. 20231

Transmitted herewith for filing in the Continuation-in-Part Patent Application of:

Decai (NMI) Sun
Eric Peeters
Christopher L. Chua
Francesco Lemmi
Patrick Y. Maeda
Scott Solberg

Entitled: **A SEMI-TRANSPARENT POWER MONITOR INTEGRATED WITH A LIGHT PRODUCING MODULE**

Enclosed are:

- [X] 21 sheets of specification, 5 sheets of claims, 1 sheet of Abstract.
- [X] 22 sheets of [] formal/[X] informal drawings, Figures 1-4, 5a-d, 6a-d, 7-20, 21a-b, 22a-b, 23-27.
- Use Figure 2 for front page of Publication.
- [X] an assignment of an invention to XEROX CORPORATION (and transmittal therefor).
- [X] a Declaration and Power of Attorney for Patent Application.
- [] Filing under C.F.R. 1.41(c)
- [X] Information Disclosure Statement and PTO-1449
- [X] Preliminary Amendment

Benefit of Prior U.S. Applications:

This is a continuation-in-part application of copending application Serial No. 09/469,122, filed December 21, 1999.

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	18 - 20 =	0	[XX] \$18 LARGE [] \$09 SMALL	\$.00
INDEPENDENT CLAIMS	3 - 3 =	0	[XX] \$80 LARGE [] \$40 SMALL	\$.00
BASIC FEE	[XX] LARGE ENTITY \$710 [] SMALL ENTITY \$355			
TOTAL FILING FEE				\$ 710.00

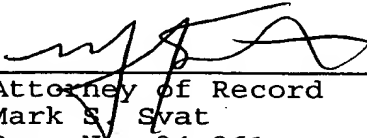
- ☒ Please charge Deposit Account No. 24-0037 in the amount of \$ 710.00.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment to Deposit Account No. 24-0037.
- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.

☐ This application is NOT to be published under 35 U.S.C. 122(b). The undersigned attorney or agent hereby certified that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

☒ The assignee of this application to be indicated on its publication is Xerox Corporation.

Date

Aug 8, 2001


Attorney of Record

Mark S. Svat

Reg. No. 34,261

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Inventor/Applicant: <u>Dacan, J. et al.</u>		Pat. No. _____
Title: <u>Anti-transparent paper</u>		Ser. No. <u>Unknown</u>
Attorney Docket No. <u>882093-3</u>	Initials <u>JD</u>	Date <u>8-8-01</u>
<input checked="" type="checkbox"/> PATENT/DESIGN APPLICATION		<input type="checkbox"/> AMENDMENT (Due _____)
New Application Transmittal		Transmittal(s) _____
Cont. _____ Div. <u>C-I-P</u> Provisional _____	Extension of Time (For _____ month(s))	
CPA Transmittal _____		
Declaration/Power of Atty. _____		
pgs. Specification <u>21</u>		
pgs. Claims <u>5</u>		
pgs. Abstract <u>18</u> total <u>3</u> Independent		
Sheet(s) of drawing(s) <u>32</u> formal <u>1</u> informal		
<input checked="" type="checkbox"/> Preliminary Amendment		
Request and certification under 35 U.S.C. 122(b)(2)(B)(i) _____		
<input checked="" type="checkbox"/> Claim for Right of Priority		
Priority document(s) _____		
Small Entity Statement(s) _____		
Final Fee _____		
Response to missing parts _____		
<input checked="" type="checkbox"/> ASSIGNMENT		
Transmittal _____ Confirmatory _____		
<input checked="" type="checkbox"/> INFORMATION DISCLOSURE STATEMENT		
PTO Form 1449 <u>9</u> Refs. _____		

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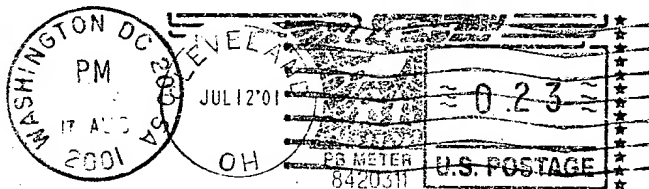
11050 U.S. PTO
09/924840

RECEIPT IS HEREBY ACKNOWLEDGED

*Dep. Act 7/10/00
94000
Request for patent examination
by submission of formal
drawings and petition
to substitute drawings
for publication*



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